

## Lecture No - 15 Example

**EXAMPLE**

$$f(x, y) = \sqrt{x^2 + y^2}$$

$$f_x(x, y) = \frac{x}{\sqrt{x^2 + y^2}}$$

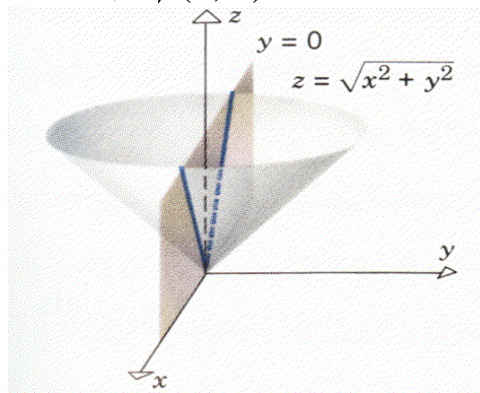
$$f_y(x, y) = \frac{y}{\sqrt{x^2 + y^2}}$$

The partial derivatives exist at all points of the domain of  $f$  except at the origin which is in the domain of  $f$ . Thus  $(0, 0)$  is a critical point of  $f$

Now  $f_x(x, y) = 0$  only if  $x = 0$  and  
 $f_y(x, y) = 0$  only if  $y = 0$

The only critical point is  $(0,0)$  and  $f(0,0)=0$

Since  $f(x, y) \geq 0$  for all  $(x, y)$ ,  $f(0, 0) = 0$  is the absolute minimum value of  $f$ .

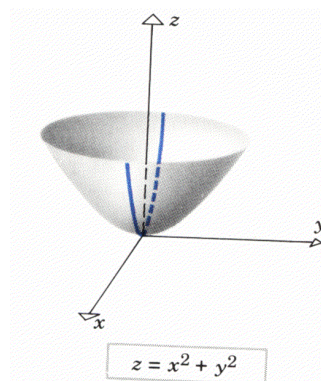
**Example**

$z = f(x, y) = x^2 + y^2$  (Paraboloid)

$f_x(x, y) = 2x$ ,  $f_y(x, y) = 2y$

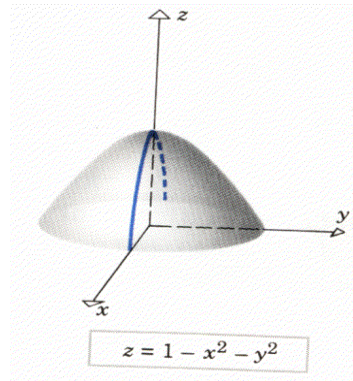
when  $f_x(x, y) = 0$ ,  $f_y(x, y) = 0$

we have  $(0, 0)$  as critical point.

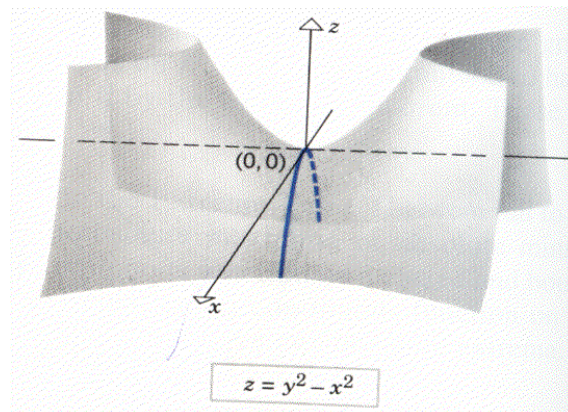


**EXAMPLE**

$z = g(x, y) = 1 - x^2 - y^2$  (Paraboloid)  
 $g_x(x, y) = -2x$ ,  $g_y(x, y) = -2y$   
 when  $g_x(x, y) = 0$ ,  $g_y(x, y) = 0$   
 we have  $(0, 0)$  as critical point.

**EXAMPLE**

$z = h(x, y) = y^2 - x^2$  (Hyperboloid) (Hyperbolic paraboloid)  
 $h_x(x, y) = -2x$ ,  $h_y(x, y) = 2y$   
 when  $h_x(x, y) = 0$ ,  $h_y(x, y) = 0$   
 we have  $(0, 0)$  as critical point.

**EXAMPLE**

$$f(x, y) = \sqrt{x^2 + y^2}$$

$$f_x = \frac{x}{\sqrt{x^2 + y^2}} \quad f_y = \frac{y}{\sqrt{x^2 + y^2}}$$

The point  $(0, 0)$  is critical point of  $f$  because the partial derivatives do not both exist. It is evident geometrically that  $f_x(0, 0)$  does not exist because the trace of the cone in the plane  $y=0$  has a corner at the origin.

The fact that  $f_x(0, 0)$  does not exist can also be seen algebraically by noting that  $f_x(0, 0)$  can be interpreted as the derivative with respect to  $x$  of the function

$$f(x, 0) = \sqrt{x^2 + 0} = |x| \quad \text{at } x = 0.$$

But  $|x|$  is not differentiable at  $x = 0$ , so  $f_x(0,0)$  does not exist. Similarly,  $f_y(0,0)$  does not exist. The function  $f$  has a relative minimum at the critical point  $(0,0)$ .

### The Second Partial Derivative Test

Let  $f$  be a function of two variables with continuous second order partial derivatives in some circle centered at a critical point  $(x_0, y_0)$ , and let

$$D = f_{xx}(x_0, y_0) f_{yy}(x_0, y_0) - f_{xy}^2(x_0, y_0)$$

- (a) If  $D > 0$  and  $f_{xx}(x_0, y_0) > 0$ , then  $f$  has a **relative minimum** at  $(x_0, y_0)$ .
- (b) If  $D > 0$  and  $f_{xx}(x_0, y_0) < 0$ , then  $f$  has a **relative maximum** at  $(x_0, y_0)$ .
- (c) If  $D < 0$ , then  $f$  has a **saddle point** at  $(x_0, y_0)$ .
- (d) If  $D = 0$ , then no conclusion can be drawn.

### REMARKS

If a function  $f$  of two variables has an absolute extremum (either an absolute maximum or an absolute minimum) at an interior point of its domain, then this extremum occurs at a critical point.

### EXAMPLE

$$f(x, y) = 2x^2 - 4x + xy^2 - 1$$

$$f_x(x, y) = 4x - 4 + y^2, \quad f_{xx}(x, y) = 4$$

$$f_y(x, y) = 2xy, \quad f_{yy}(x, y) = 2x$$

$$f_{xy}(x, y) = f_{yx}(x, y) = 2y$$

For critical points, we set the first partial derivatives equal to zero. Then

$$4x - 4 + y^2 = 0 \quad (1)$$

$$\text{and } 2xy = 0 \quad (2)$$

we have  $x = 0$  or  $y = 0$

$$x = 0, \text{ then from (1), } y = \pm 2.$$

$$y = 0, \text{ then from (1), } x = 1.$$

Thus the critical points are  **$(1,0)$ ,  $(0, 2)$ ,  $(0, -2)$** .

We check the nature of each point.

$$f_{xx}(1,0) = 4,$$

$$f_{yy}(1,0) = 2,$$

$$f_{xy}(1,0) = 0$$

$$\begin{aligned} \mathbf{D} &= \mathbf{f}_{xx}(1,0) \cdot \mathbf{f}_{yy}(1,0) - [\mathbf{f}_{xy}(1,0)]^2 \\ &= 8 > 0 \end{aligned}$$

and  $f_{xx}(1,0)$  is positive. Thus  $f$  has a relative minimum at  $(1,0)$ .

$$f_{xx}(0,-2) = 4,$$

$$f_{yy}(0,-2) = 0,$$

$$f_{xy}(0,-2) = -4$$

$$\begin{aligned} \mathbf{D} &= \mathbf{f}_{xx}(0,-2) \cdot \mathbf{f}_{yy}(0,-2) - [\mathbf{f}_{xy}(0,-2)]^2 \\ &= -16 < 0. \end{aligned}$$

$$f_{xx}(0,2) = 4,$$

$$f_{yy}(0,2) = 0,$$

$$f_{xy}(0,2) = 4$$

$$\begin{aligned} \mathbf{D} &= \mathbf{f}_{xx}(0,2) \cdot \mathbf{f}_{yy}(0,2) - [\mathbf{f}_{xy}(0,2)]^2 \\ &= -16 < 0. \end{aligned}$$

Therefore,  $f$  has a saddle point at  $(0,2)$ .

Therefore,  $f$  has a saddle point at  $(0,-2)$ .

### EXAMPLE

$$f(x,y) = e^{-(x^2+y^2+2x)}$$

$$f_x(x,y) = -2(x+1)e^{-(x^2+y^2+2x)},$$

$$f_y(x,y) = -2ye^{-(x^2+y^2+2x)}$$

For critical points

$$f_x(x,y) = 0, \quad x+1 = 0, \quad x = -1 \quad \text{and}$$

$$f_y(x,y) = 0, \quad y = 0$$

Hence critical point is  $(-1,0)$ .

$$f_{xx}(x,y) = [(-2x-2)^2 - 2]e^{-(x^2+y^2+2x)}$$

$$f_{xx}(-1,0) = -$$

$$f_{yy}(x,y) = [4y^2 - 2]e^{-(x^2+y^2+2x)}$$

$$f_{yy}(-1,0) = -$$

$$f_{xy}(x,y) = -2y(-2x-2)e^{-(x^2+y^2+2x)}$$

$$f_{xy}(-1,0) = 0$$

$$\mathbf{D} = \mathbf{f}_{xx}(-1,0) \mathbf{f}_{yy}(-1,0) - \mathbf{f}_{xy}^2(-1,0)$$

$$= (-2e)(-2e) > 0$$

This shows that  $f$  is maximum at  $(-1,0)$ .

**EXAMPLE**

$$f(x,y) = 2x^4 + y^2 - x^2 - 2y$$

$$\begin{aligned} f_x(x,y) &= 8x^3 - 2x, & f_y(x,y) &= 2y - 2 \\ f_{xx}(x,y) &= 24x^2 - 2, & f_{yy}(x,y) &= 2, \\ & & f_{xy}(x,y) &= 0 \end{aligned}$$

For critical points

$$\begin{aligned} f_x(x,y) &= 0, \\ 2x(4x^2 - 1) &= 0, & x &= 0, 1/2, -1/2 \\ f_y(x,y) &= 0, \\ 2y - 2 &= 0, & y &= 1 \end{aligned}$$

Solving above equation we have the critical

points  $(0,1), \left(-\frac{1}{2}, 1\right), \left(\frac{1}{2}, 1\right)$ .

$$\begin{aligned} f_{xx}(0,1) &= -2, & f_{yy}(0,1) &= 2, \\ f_{xy}(0,1) &= 0 \end{aligned}$$

$$\begin{aligned} \mathbf{D} &= \mathbf{f}_{xx}(\mathbf{0}, \mathbf{1}) \mathbf{f}_{yy}(\mathbf{0}, \mathbf{1}) - \mathbf{f}_{xy}^2(\mathbf{0}, \mathbf{1}) \\ &= (-2)(2) - 0 = -4 < 0 \end{aligned}$$

This shows that  $(0, 1)$  is a saddle point.

$$f_{xx}\left(\frac{1}{2}, 1\right) = 4, \quad f_{yy}\left(\frac{1}{2}, 1\right) = 2$$

$$f_{xy}\left(\frac{1}{2}, 1\right) = 0$$

$$\begin{aligned} \mathbf{D} &= \mathbf{f}_{xx}\left(\frac{1}{2}, \mathbf{1}\right) \mathbf{f}_{yy}\left(\frac{1}{2}, \mathbf{1}\right) - \mathbf{f}_{xy}^2\left(-\frac{1}{2}, \mathbf{1}\right) \\ &= (4)(2) - 0 = 8 > 0 \end{aligned}$$

$$f_{xx}\left(\frac{1}{2}, 1\right) = 4 > 0, \text{ so } f \text{ is minimum at } \left(\frac{1}{2}, 1\right).$$

**Example**

Locate all relative extrema and saddle points of

$$f(x, y) = 4xy - x^4 - y^4.$$

$$f_x(x, y) = 4y - 4x^3, \quad f_y(x, y) = 4x - 4y^3$$

For critical points

$$\begin{aligned} f_x(x, y) &= 0 \\ 4y - 4x^3 &= 0 & (1) \\ y &= x^3 \end{aligned}$$

$$\begin{aligned} f_y(x, y) &= 0 \\ 4x - 4y^3 &= 0 & (2) \\ x &= y^3 \end{aligned}$$

Solving (1) and (2), we have the critical points  $(0,0)$ ,  $(1, 1)$ ,  $(-1, -1)$ .

$$\text{Now } f_{xx}(x, y) = -12x^2, \quad f_{xx}(0, 0) = 0$$

$$f_{yy}(x, y) = -12y^2, \quad f_{yy}(0, 0) = 0$$

$$f_{xy}(x, y) = 4, \quad f_{xy}(0, 0) = 4$$

$$\begin{aligned} \mathbf{D} &= f_{xx}(0,0) f_{yy}(0,0) - f_{xy}^2(0,0) \\ &= (0)(0) - (4)^2 = -16 < 0 \end{aligned}$$

This shows that  $(0,0)$  is the saddle point.

$$f_{xx}(x, y) = -12x^2, \quad f_{xx}(1,1) = -12 < 0$$

$$f_{yy}(x,y) = -12y^2, \quad f_{yy}(1,1) = -12$$

$$f_{xy}(x, y) = 4, \quad f_{xy}(1,1) = 4$$

$$\begin{aligned} \mathbf{D} &= f_{xx}(1,1) f_{yy}(1,1) - f_{xy}^2(1, 1) \\ &= (-12)(-12) - (4)^2 = 128 > 0 \end{aligned}$$

This shows that  $f$  has relative maximum at  $(1,1)$ .

$$f_{xx}(x,y) = -12x^2, \quad f_{xx}(-1, -1) = -12 < 0$$

$$f_{yy}(x, y) = -12y^2, \quad f_{yy}(-1, -1) = -12$$

$$f_{xy}(x, y) = 4, \quad f_{xy}(-1, -1) = 4$$

$$\begin{aligned} \mathbf{D} &= f_{xx}(-1,-1) f_{yy}(-1,-1) - f_{xy}^2(-1,-1) \\ &= (-12)(-12) - (4)^2 = 128 > 0 \end{aligned}$$

This shows that  $f$  has relative maximum  $(-1, -1)$ .

**Over view of lecture # 15 Book**

**Calculus by HOWARD ANTON**

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